



MidWestOne[™]
INVESTMENT SERVICES

Financial Planning Fact Finder

Personal Information

Client Information		
Client Name		
Street Address		
City/Town	State/ZIP	
Home Phone	Mobile Phone	
Email Address		
Birth Date	Social Security Number	
Employment		
Job Title	Employer	
Employer Address		
Spouse Information		
Spouse Name		
Birth Date	Social Security Number	
Mobile Phone		
Email Address		
Spouse Employment		
Job Title	Employer	
Employer Address		
Children/Dependents		
Name	Date of Birth	Marital Status

Professional Contacts

Tax Preparer	
Name	Address
Phone	Email
Attorney	
Name	Address
Phone	Email
Insurance Agent	
Name	Address
Phone	Email
Investment Advisor	
Name	Address
Phone	Email
Notes	

Assets and Liabilities

Asset	Value	Owner	Liabilities	Value	Owner
Cash and CDs			Mortgages		
Primary Residence			Other Home Loans		
Second Residence			Vehicle Loans		
Automobiles			School Loans		
Other Vehicles			Business Loans		
Business Interests			Other Loans		
Retirement Accounts			Notes		
Investments			Credit Cards		
Fine Art, Jewelry, Collectibles			Medical Bills		
Other Personal Property			Taxes		
Any Other Assets			Any Other Liabilities		
Total Assets	\$		Total Liabilities	\$	
			Net Worth		
			Total assets minus total liabilities		

Are any liquid assets earmarked as an "emergency fund"?

Yes No

If yes, how much?

Cash Flow

Monthly Income		Monthly Expenses	
Client Salary		Mortgage Payments	
Spouse Salary		Loan Payments	
Bonuses, Commissions		Credit Card Payments	
Pension Income		Utilities	
Annuity Income		Food, Meals	
Rental Property Income		Medical Expenses	
Interest, Dividends		Vehicle Expenses	
Alimony		Insurance Payments	
Income from a Trust		Personal Care	
Client Social Security		Entertainment	
Spouse Social Security		Any Other Expenses	
Total Monthly Income		Total Monthly Expenses	

Do you have a monthly budget? Yes No

If yes, are you able to stick to it? Yes No

Financial and Lifestyle Goals

Do you have individuals with special needs you would like to make special provisions for?
Do you expect to receive property/money from inheritance or another source? If yes, value?
Do you have a Will and/or Trust?
Do you wish to make charitable donations or gifts? If so, what amount?
If you had complete financial security, how would you spend your time?
Name the most important personal goal you want to meet...
within one year
within five years
within ten years

Financial Concerns

Please rate how important the following financial concerns are to you, 5 being the most important, 1 being the least important.

Concern	1	2	3	4	5
Plan a budget	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Start a short-term savings plan (to buy a house, take trip)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Create or update an education savings plan for my children	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Create or update a retirement savings plan	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Create or update an investment plan	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Create or update an estate plan	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Start a new business	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Review tax efficiencies	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Analyze my insurance needs	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Organize my financial documents	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Notes					

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Not A Deposit	Not Insured by any Federal Government Agency	